

INVESTMENT ALLOCATION FORM

The Northshore Community Foundation offers an array of investment options designed to meet individualized fund objectives, time horizon, and risk tolerance. Pooled investment options are available to all charitable funds. The Foundation utilizes Goldman Sachs to manage our investment portfolio.

The following document outlines the allocation characteristics and considerations for you as you determine how you'd like to allocate your funds in our portfolio. Please review this information, select your allocation mix, and sign indicating your understanding of our investment practices.

ALLOCATION CHARACTERISTICS AND CONSIDERATION

| Description | | Asset Allocation | | | | | |
|---|--|------------------------|-------------------------------|--------------------|-----------|---------------|---------------------------------------|
| | | Deposit & Money Market | Investment Grade Fixed Income | Other Fixed Income | US Equity | Non-US Equity | Private Equity & Other Private Assets |
| Short-Term Strategy 1-3 years | Risk Level: Below Average Liquidity: 100% Daily | 100% | | | | | |
| Mid-Term Strategy 3-10+ years | Risk Level: Above average; market fluctuations expected Liquidity: 100% Daily | 35.5% | 9% | 40.5% | 15% | | |
| Long-Term Strategy 10+ years | Risk Level: High; market fluctuations expected Liquidity: Limited | 18% | 7% | 37% | 13% | 25% | |

**Allocations included within this chart represent the target allocation. As is described in the Foundation's Investment Policy Statement, a lower and upper limit have been established as acceptable and therefore these percentages may fluctuate from time to time.*



INVESTMENT SELECTION

Please indicate how you would like to invest your fund:

Long-Term Strategy Allocation: _____ %

Mid-Term Strategy Allocation: _____ %

Short-Term Strategy Allocation: _____ %

Fund Name

Signature

Date

Print Name

ATTACHMENT 1:

INVESTMENT POLICIES AND PROCEDURES

Please note that investments in the long-term strategy allocation will be subject to the Foundation's lock-up period due to the illiquid nature of some of the underlying assets in the allocation. Additional information on the lock-up period can be found in the Foundation's policies and procedures for named funds.

New gifts deposited into your fund will be allocated in the same percentages as is indicated on this form, unless otherwise specified.

As you make requests for grants from your fund, those grants will be issued from the most liquid to least liquid portion of your portfolio.

Allocation recommendations may be made by donors once in a 12-month period. You will need to sign a new form to begin the investment allocation transfer. Investment allocation transfers will be made monthly throughout 2024. Your fund will then remain in that investment option for at least one year from the month of transfer. In 2025, we will transition to quarterly investment allocation transfers in January, April, July, and October. If you request a transfer outside of those months, it will be made the following quarter.

The responsibility for managing the investment program rests with the Baton Rouge Area Foundation's Board of Directors with the Investment Committee serving in an advisory capacity. The percentages in the mix options may change from time-to-time following review and consistent with the Foundation's Investment Policy Statement.

As required by IRS regulations:

The investment recommendation is advisory only and the Community Foundation may, at its sole and absolute discretion, follow or decline to follow the recommendation

The Community Foundation may at any time, at its sole and absolute discretion, change the investment of all or any portion of the assets in the Fund

If the investment recommendation is accepted, the investments will be administered in accordance with the financial policies and fiduciary standards of the Community Foundation

Investments are subject to normal market and interest rate fluctuation risks, and any gain or loss generated by the above investments will be credited or charged to the Fund

ATTACHMENT 2:

LOCK UP PERIOD

With respect to the long-term investment strategy and the illiquid nature of certain asset classes therein (ex. Private Equity, Private Real Estate Assets, Private Equity, Hedge Funds), certain liquidity terms apply if requesting more than eighty percent (80%) of the Fund balance, to-wit:

| Fund Balance Invested in Long-Term Strategy Allocation | Liquidation Terms |
|---|---|
| <\$1,000,000 | Fund will generally be available for other investment options or grantmaking within 90 days. |
| \$1,000,000 -\$5,000,000 | First 80% of Fund balance generally available 90 days after request. Remaining Fund balance generally available for other investment options or grantmaking one year after request. |
| >\$5,000,000 | Contact Relationship Manager for terms. |